Analysing popular music: theory, method and practice

by Philip Tagg

NOTICE TO READERS >30 YEARS (!) LATER

I wrote this article a very long time ago (1981). Even if I still agree with the basic gist of the text, my theory, method and, in particular, terminology have developed considerably over the intervening decades. I urge those intent on reading this old text to use the corrected and updated version at tagg.org/articles/xpdfs/pm2anal.pdf (Philip Tagg, March 2015).

Popular music analysis - why?

One of the initial problems for any new field of study is the attitude of incredulity it meets. The serious study of popular music is no exception to this rule. It is often confronted with an attitude of bemused suspicion implying that there is something weird about taking ‘fun’ seriously or finding ‘fun’ in ‘serious things’. Such attitudes are of considerable interest when discussing the aims and methods of popular music analysis and serve as an excellent introduction to this article.

In announcing the first International Conference on Popular Music Research, held at Amsterdam in June 1981, The Times Diary printed the headline ‘Going Dutch - The Donnish Disciples of Pop’ (The Times 16 June 1981). Judging from the generous use of inverted commas, sics and ‘would-you-believe-it’ turns of phrase, the Times diarist was comically baffled by the idea of people getting together for some serious discussions about a phenomenon which the average Westerner’s brain probably spends around twenty-five per cent of its lifetime registering, monitoring and decoding. It should be added that The Times is just as incredulous about ‘“A Yearbook of Popular Music” (sic)’ (their sic), in which this ‘serious’ article about ‘fun’ now appears.

In announcing the same conference on popular music research, the New Musical Express (20 June 1981, p. 63) was so witty and snappy that the excerpt can be quoted in full.

Meanwhile, over in Amsterdam this weekend, high foreheads from the four corners of the earth (Sid and Doris Bonkers) will meet for the first International Conference on Popular Music at the University of Amsterdam. In between the cheese and wine parties, serious young men and women with goatee beards and glasses will discuss such vitally important issues as ‘God, Morality and Meaning in the Recent Songs of Bob Dylan’. Should be a barrel of laughs...

This wonderfully imaginative piece of poetry is itself a great barrel of laughs to anyone present at the conference with its zero (0 per cent) wine and cheese parties, one (0.8 per cent) goatee beard and a dozen [38] (10 per cent) bespectacled participants. (As ‘Sid Bonkers’, I do admit to having worn contact lenses). Talks were given by active rock musicians, by an ex-NME and Rolling Stone journalist, by radio people and by Paul Oliver, who may have worn glasses but who, even if maliciously imagined with a goatee beard, horns and a trident, has probably done more to increase respect, understanding

1. No such talk was on the conference programme! Actually it is the title of Wilfrid Mellers’s article in Popular Music 1 (1981, pp. 143-157).
This convergence of opinion between such unlikely bedfellows as *The Times* and the *NME* about the imagined incongruity of popular music as an area for serious study implies one of two things. Either popular music is so worthless that it should not be taken seriously (unlikely, since pop journalists obviously rely on the existence of popular music for their livelihood) or academics are so hopeless — absent-mindedly mumbling long Latin words under their mortarboards in ivory towers — that the prospect of them trying to deal with anything as important as popular music is just as absurd. However, *The Times* and *NME* are not alone in questioning the ability of traditional scholarship to deal with popular music. Here they join forces with no mean number of intellectual musicians and musically interested academics.

Bearing in mind the ubiquity of music in industrialised capitalist society, its importance at both national and transnational levels (see Varis 1975, Chapple and Garofalo 1977, Frith 1978, *Fonogrammen i kulturpolitiken* 1979) and the share of popular music in all this, the incredible thing is not that academics should start taking the subject seriously but that they have taken such a time getting round to it. Until recently, publicly funded musicology has passively ignored the sociocultural challenge of trying to inform the record-buying, Muzak-registering, TV watching and video-consuming public ‘why and how who’ (from the private sector) ‘is communicating what to whom’ (in the public sector) ‘and with what effect’ (apologies to C S Peirce). Even now it does very little. Nevertheless, to view the academic world as being full of static and eternal ivory tower stereotypes is to reveal an ahistorical and strangely defeatist acceptance of the schizophrenic status quo in capitalist society. It implies atomisation, compartmentalisation and polarisation of the affective and the cognitive, of private and public, individual and collective, implicit and explicit, entertaining and worrying, fun and serious, etc. The ‘never-the-twain-shall-meet’ syndrome is totally untenable in the field of popular music (or the arts in general). One does not need to be a don to understand that there are objective developments in nineteenth- and twentieth-century music history which demand that changes be made, not least in academic circles.

These developments can be summarised as follows: (1) a vast increase in the share music takes in the money and time budgets of citizens in the industrialised world; (2) shifts in class structure leading to the advent of socioculturally definable groups, such as young people in student or unemployment limbo between childhood and adulthood, and their need for collective identity; (3) technological advances leading to the development of recording techniques capable (for the first time in history) of accurately storing and allowing for mass distribution of non-written musics; (3) transistorisation, microelectronics and all that such advances mean to the mass dissemination of music; (5) the development of new musical functions in the audiovisual media (for example, films, TV, video, advertising); (6) the ‘non-communication’ crisis in modern Western art music and the stagnation of official art music in historical moulds; (7) the development of a loud, permanent, mechanical lo-fi soundscape (Schafer 1974, 1977) and its ‘reflection’ (Riethmüller 1976) in electrified music with regular pulse (Bradley 1980); (8) the general acceptance of certain Euro- and Afro-American genres as constituting a *lingua franca* of musical expression in a large number of contexts within industrialised society; (9) the gradual, historically inevitable replacement of intellectuals schooled solely in the art music tradition by others exposed to the same tradition but at the same time brought up on Presley, the Beatles and the Stones.

To those of us who during the fifties and sixties played both Scarlatti and soul, did palaeography and Palestrina crosswords as well as working in steelworks, and who walked across quads on our way to the ‘Palais’ or the pop club, the serious study of popular music is not a matter of intellectuals turning hip or of mods and rockers going
academic. It is a question of (a) getting together two equally important parts of experience, the intellectual and emotional, inside our own heads and (b) being able as music teachers to face pupils whose musical outlook has been crippled by those who present ‘serious music’ as if it could never be ‘fun’ and ‘fun music’ as though it could never have any serious implications.

Thus the need for the serious study of popular music is obvious, while the case for making it a laughing matter, although understandable (it can be hilarious at times), is basically reactionary and will be dispensed with for the rest of this article. This is because the aim of what follows is to present a musicological model for tackling problems of popular music content analysis. It is hoped that this might be of some use to music teachers, musicians and others looking for a contribution towards the understanding of ‘why and how does who communicate what to whom and with what effect’.\[40\]

**Musicology and popular music research**

Studying popular music is an interdisciplinary matter. Musicology still lags behind other disciplines in the field, especially sociology. The musicologist is thus at a simultaneous disadvantage and advantage. The advantage is that he/she can draw on sociological research to give the analysis proper perspective. Indeed, it should be stated at the outset that no analysis of musical discourse can be considered complete without consideration of social, psychological, visual, gestural, ritual, technical, historical, economic and linguistic aspects relevant to the genre, function, style, (re-)performance situation and listening attitude connected with the sound event being studied. The disadvantage is that musicological ‘content analysis’ in the field of popular music is still an underdeveloped area and something of a missing link (see Schuler 1978).

**Music analysis and the communication process**

Let us assume music to be that form of interhuman communication in which individually experienceable affective states and processes are conceived and transmitted as humanly organised nonverbal sound structures to those capable of decoding their message in the form of adequate affective and associative response (Tagg 1981b). Let us also assume that music, as can be seen in its modes of ‘performance’ and reception, most frequently requires by its very nature a group of individuals to communicate either among themselves or with another group; thus most music (and dance) has an intrinsically collective character not shared by the visual and verbal arts. This should mean that music is capable of transmitting the affective identities, attitudes and behavioural patterns of socially definable groups, a phenomenon observed in studies of subcultures and use by North American radio to determine advertising markets (Karshner 1971).

Now, although we have considerable insight into socioeconomic, subcultural and psychosocial mechanisms influencing the ‘emitter’ (by means of biographies, etc.) and ‘receiver’ of certain types of popular music, we have very little explicit information about the ‘channel’, about ‘the music itself’. We know very little about its ‘signifiers’ and ‘signifieds’, about the relations the music establishes between emitter and receiver, about how a musical message actually relates to the set of affective and associative concepts presumably shared by emitter and receiver, and how it interacts with their respective cultural, social and natural environments. In other words, reverting to the question ‘why and how does who say what to whom and with what effect?’, we could say that sociology answers the questions ‘who’, ‘to whom’ and, with some help from psychology, ‘with what effect’ and possibly parts of ‘why’, but when it comes to the rest of ‘why’, not to mention the questions ‘what’ and ‘how’, we are left in the lurch, unless musicologists are prepared to tackle the problem (Wedin 1972: 128).
There is no room here to define ‘popular music’ but to clarify the argument I shall establish an axiomatic triangle consisting of ‘folk’, ‘art’ and ‘popular’ musics. Each of these three is distinguishable from the other two according to the criteria presented in Figure 1. The argument is that popular music cannot be analysed using only the traditional tools of musicology because popular music, unlike art music, is (1) conceived for mass distribution to large and often socioculturally heterogeneous groups of listeners, (2) stored and distributed in non-written form, (3) only possible in an industrial monetary economy where it becomes a commodity and (4) in capitalist society, subject to the laws of ‘free’ enterprise, according to which it should ideally sell as much as possible of as little as possible to as many as possible. Consideration of these three distinguishing marks implies that it is impossible to ‘evaluate’ popular music along some sort of Platonic ideal scale of aesthetic values and, more practically, that notation should not be the analyst’s main source material. The reason for this is that while notation may be a viable starting point for much art music analysis, in that it was the only form of storage of over a millennium, popular music, not least in its Afro-American guises, is neither conceived nor designed to be stored or distributed as notation, a large number of important parameters of musical expression being either difficult or impossible to encode in traditional notation (Tagg 1979: 28-31). This is, however, not the only problem.

Allowing for certain exceptions, traditional music analysis can be characterised as formalist. One of its great difficulties (criticised in connection with the analysis of art music in Rösing 1977) is relating musical discourse to the remainder of human existence in any way, the description of emotive aspects in music either occurring sporadically or being avoided altogether. Perhaps these difficulties are in part attributable to such factors as (1) a kind of exclusivist guild mentality amongst musicians resulting in the abil-
ity and/or lack of will to associate items of musical expression with extramusical phenomena; (2) a time-honoured adherence to notation as the only viable form of storing music; (3) a culture-centric fixation on certain notatable parameters of musical expression (mostly processual aspects such as ‘form’, thematic construction, etc.), which are particularly important to the Western art music tradition. This carries with it a nonchalance towards other parameters not easily expressed in traditional notation (mostly ‘immediate’ aspects such as sound, timbre, electromusical treatment, ornamentation, etc.), which are relatively unimportant — or ignored — in the analysis of art music but extremely important in popular music (Rösing 1981).

Affect theory and hermeneutics

Despite the overwhelming dominance of the formalist tradition in university departments of musicology, such non-referential thinking should be seen as a historical parenthesis in the area of verbal discourse on music, this being bordered on one side by the Baroque Theory of Affects and on the other by the hermeneutics of music (Zoltai 1970: 137-215). The doctrinal straitjacket of Affect Theory, a sort of combination of feudal absolutism and rationalist curiosity, and its apparent tendency to regard itself as universally applicable (Lang 1942: 438; Zoltai 1970: 177), render it unsuitable for use in popular music analysis which must deal with a multitude of ‘languages’, ranging from film music in the late romantic symphonic style to punk and from middle-of-the-road pop to the Webernesque sonorities of murder music in TV thrillers.

Musical hermeneutics, as a subjectivist, interpretative approach, is often violently and sometimes justifiably criticised. Indeed, from time to time it can degenerate into exegetical guesswork and to intuitively acrobatic ‘reading between the lines. (Good examples of this are to be found in Cohn 1970: 54-55; Melzer 1970: 104, 153; Mellers 1973: 117-118). Nevertheless, hermeneutics can, if used with discretion and together with other musicological approaches, make an important contribution to the analysis of popular music, not least because it treats music as a symbolic system and encourages synaesthetic thinking on the part of the analyst, a prerequisite for the foundation of verbalisable hypotheses and a necessary step in escaping from the prison of sterile formalism.

The semiology and sociology of music

The transfer of structuralist and semiotic methods, derived from linguistics, to the realm of music seemed initially highly promising (see Bernstein 1976). However, several musicologists of semiotic bent (for example Francès 1972, Lerdaahl and Jackendoff 1977, Keiler 1978 and Stoianova 1978), have pointed out that models constructed to explain the denotative aspects of verbal language can by no means be transplanted wholesale into the field of music with its connotative, associative-affective character of discourse (see Shepherd 1977). Unfortunately, a great deal of linguistic formalism has crept into the semiology of music, the extrageneric question of relationships between musical signifier and signified and between the musical object under analysis and society being regarded as suspect (Nattiez 1974: 67), or as subordinate to congeneric relationships within the musical object (Nattiez 1974: 72-73 and 1975: 414-416).

The empirical sociology of music, apart from having acted as a sorely needed alarm clock, rousing musicologists from their culture-centric and ethnocentric slumbers, and notifying them of musical habits amongst the population at large, can also provide valuable information about the functions, uses and (with the help of psychology) the effects of the genre, performance or musical object under analysis. In this way, results from perceptual investigation and other data about musical habits can be used for crosschecking analytical conclusions and for putting the whole analysis in its sociological and psychological perspectives.
It is clear that a holistic approach to the analysis of popular music is the only viable one if one wishes to reach a full understanding of all factors interacting with the conception, transmission and reception of the object of study. Now although such an approach obviously requires multidisciplinary knowledge on a scale no individual researcher can ever hope to embrace, there are nevertheless degrees of inter- and intradisciplinary outlook, not to mention the possibilities afforded by interdisciplinary teamwork. An interesting approach in this context is that of Assafiev’s Intonation Theory (Asaf’yev 1976), which embraces all levels of musical expression and perception, from onomatopoeic signals to complex formal structures, without placing them on either overt or covert scales of aesthetic value judgement. Intonation theory also tries to put musical analysis into historical, cultural, social and psychological perspective and seems to be a viable alternative to both congeneric formalism and unbridled hermeneutic exegesis, at least as practised in the realm of art music by Asaf’yev himself (1976: 51 ff.) and, in connection with folk music, by Maróthy (1974). Intonation theory has also been applied to the study of popular music by Mühe (1968) and Zak (1979). However, the terminology of intonation theory seems to lack stringency, intonation itself being given a diversity of new meanings by Asaf’yev himself in addition to those it already possesses (Ling 1978a). It seems wise to adopt the generally holistic and dynamically non-idealist approach of intonation theory in popular music analysis, less wise to adopt its terminology, at least in the West where it is still little known.

There are also a number of other important publications within non-formalist musicology which combine semiological, sociological, psychological and hermeneutic approaches, thereby offering ideas which might be useful in the analysis of popular music. Apart from pioneer work carried out in prewar Germany (see Rösing 1981, n.11) and by Francès (1972), I should mention in this context publications by Karbušicky (1973), Rösing (1977), Ling (1978b) and Tarasti (1978). However, in none of these publications are the analytical models applied to popular music; this still remains an extremely difficult area, as Rösing (1981) points out in his critique of several West German attempts at tackling the problem. The difficulties are also clearly epitomised by the surprising dearth of analytical methods developed in the Anglo-Saxon world.

In an interesting analysis of a fourteen-minute LP track by an East German rock group, Peter Wicke (1978) puts forward convincing arguments for treating popular music with new, non-formalist analytical methods. Wicke’s analysis poses questions arising from an approach similar to that used here. Therefore, in an effort to find some epistemological gaps I shall proceed to attempt the establishment of a theoretical basis for popular music analysis.

An analytical model for popular music

The conceptual and methodological tools for popular music analysis presented here are based on some results of current research (Tagg 1979, 1980, 1981a, b). The most important parts of this analytical model are (1) a checklist of parameters of musical expression, (2) the establishment of musemes (minimal units of expression) and museme compounds by means of interobjective comparison, (3) the establishment of figure/ground (melody/accompaniment) relationships, (4) the transformational analysis of melodic phrases, (5) the establishment of patterns of extramusical process, and (6) the falsification of conclusions by means of hypothetical substitution. These points will be explained and some of them exemplified in the rest of this article. I shall draw examples mainly from my work on the title theme of the Kojak TV series (Tagg 1979) and on Abba’s hit recording Fernando (Tagg 1981a).
Fig. 2. Methodological paradigm for analysis of affect in popular music.2

AO = analysis object
IOCM = interobjective comparison material
HS = hypothetical substitution
IMC = item of musical code
PMFA = paramusical fields of association
PMP = patterns of musical process
PPMP = patterns of paramusical process
SCFS = sociocultural field of study

music_ν = music as conception (νοος = thought, purpose, mind)
music_γ = music as notation (γραφω = write)
music_υ = music as sounding object (υλη = matter as opposed to mind)
music_φ = music as perception (φαινομαι = appear, seem)

2. Thanks to Sven Andersson, Institute for the Theory of Science, University of Göteborg, for help in constructing this model.
First, however, this analytical process should be put into the context of a scientific paradigm. The discussion that follows should be read in conjunction with figure 2. A reading down the centre of this diagram, following the bold lines, takes one through the process of analysis. Down the sides, joined by thinner lines, are the extramusical factors which feed into the process of production of the music and, at the level of ideology, must also be taken into account by the analyst. First, however, let us concentrate on the hermeneutic/semiological level, reading down Figure 2 as far as the moment of ‘verbalisation’.

Methodological paradigm for popular music analysis

It should be clear that popular music is regarded as a sociocultural field of study (SFCS at top and bottom of Figure 2). It should also be clear from Figure 2 that there is an access problem involving the selection of analysis object (hereinafter ‘AO’) and analytical method. Choice of study object and method are determined by the researcher’s ‘mentality’ — his or her world view, ideology, set of values, objective possibilities, etc., influenced in their turn by the researcher’s and the discipline’s objective position in a cultural, historical and social context. From the previous discussion it should be clear that the analysis of popular music is regarded here as an important contribution to musicology and to cultural studies in general. This opinion is based on the general view of modern music history presented above (see p. 2).

The choice of AO is determined to a large extent by practical methodological considerations. At the present stage of enquiry this means two things. Fertilise, it seems wise to select an AO which is conceived for and received by large, socioculturally heterogeneous groups of listeners rather than music used by more exclusive, homogeneous groups, simply because it is more logical to study what is generally communicable before trying to understand particularities. Secondly because, as we have seen, congeneric formalism has ruled the musicological roost for some time and because the development of new types of extragenic analysis is a difficult matter, demanding some caution, it is best that AOs with relatively clear extramusical fields of association (hereinafter ‘EMFA’) be singled out at this stage.

The final choice to be made before actual analysis begins is which stage(s) in the musical communication process to study. Reasons for discarding music as notation (musicγ) have already been presented. Music as perceived by listeners (musicφ) and as conceived by the composer and/or musician before actual performance (musicν) are on the other hand both highly relevant to the study of popular music, since their relations to each other, to the sounding object (musicυ) and to the general sociocultural field of study are all vital parts of the perspective into which any conclusions from the analysis of other stages in the musical communication process must be placed. Nevertheless, however important these aspects may be (and they are vital), they can only be mentioned in passing here, being referred to the ‘ideological’ part of the paradigm which follows the hermeneutic-semiological stage.

Thus, choosing the sounding object (musicυ) as our starting point, we can now discuss actual analytical method.

Hermeneutic-semiological method

The first methodological tool is a checklist of parameters of musical expression. Having discussed general aspects of the communication process and any forms of simultaneous extramusical expression connected with the AO, it is a good idea to make some sort of transcription of the musicυ, taking into consideration a multitude of musical factors. In drastically abridged form (from Tagg 1979: 68-70), the checklist includes:

1. Aspects of time: duration of AO and relation of this to any other simultaneous forms
of communication; duration of sections within the AO; pulse, tempo, metre, periodicity; rhythmic texture and motifs.

2. Melodic aspects: register; pitch range; rhythmic motifs; tonal vocabulary; contour; timbre. 

3. Orchestral aspects: type and number of voices, instruments, parts; technical aspects of performance; timbre; phrasing; accentuation.

4. Aspects of tonality and texture: tonal centre and type of tonality (if any); harmonic idiom; harmonic rhythm; type of harmonic change; chordal alteration; relationships between voices, parts, instruments; compositional texture and method.

5. Dynamic aspects: levels of sound strength; accentuation; audibility of parts.

6. Acoustical aspects: characteristics of (re-)performance ‘venue'; degree of reverberation; distance between sound source and listener; simultaneous ‘extraneous’ sound.

7. Electromusical and mechanical aspects: panning, filtering, compressing, phasing, distortion, delay, mixing, etc; muting, pizzicato, tongue flutter, etc. (see 3, above).

This list does not need to be applied slavishly. It is merely a way of checking that no important parameter of musical expression is overlooked in analysis and it can be of help in determining the processual structure of the AO. This is because some parameters will be absent, while others will be either constant during the complete AO (if they are constant during other pieces as well, such a set of AOs will probably constitute a style — see Fabbri 1982) or they will be variable, this constituting both the immediate and processual interest of the AO, not only as a piece in itself but also in relation to other music. The checklist can also contribute to an accurate description of musemes. These are minimal units of expression in any given musical style (not the same definition as in Seeger 1977) and can be established by the analytical procedure of interobjective comparison (hereinafter IOC).

The inherently alogogenic character of musical discourse is the main reason for using IOC. The musicologist’s eternal dilemma is the need to use words about a nonverbal, non-denotative art. This apparent difficulty can be turned into an advantage if at this stage of the analysis one discards words as a metalanguage for music and replaces them with other music. This means using the reverse side of a phrase coined by in a poem by Göran Sonnevi (1975): ‘music cannot be explained away — it can’t even be contradicted except by completely new music’. Thus using IOC means describing music by means of other music; it means comparing the AO with other music in a relevant style and with similar functions. It works in the following way.

If an analytical approach establishing consistency of response to the same AO played to a number of respondents is called intersubjective, then an interobjective approach is that which establishes similarities in musical structure between the AO and other music. Establishing similarities between an AO and other ‘pieces of music’ can done by individual analysts on their own, referring to the ‘checklist’. The scope of the interobjective comparison material (=IOCM) can, however, be widened considerably by asking other people to do the same. This process establishes a bank of IOCM which, to give some examples, can amount to around 350 pieces in the case of the Kojak title theme and about 130 in relation to Abba’s Fernando.

The next step is to search the IOCM for musical elements (items of musical code: IMC) similar to those found in the AO. These elements are often extremely short (musemes), or else consist of general sonorities or of overall expressional constants. Particular musemes, ‘motifs’ and general sonorities in both the AO and the IOCM which correspond must then be related to extramusical forms of expression. Such relationships can

3. ‘Musiken | kan inte bortförklaras. | Det går inte ens att säga emot, | annat än | med helt ny musik.’
be established if pieces in the IOCM share any common denominators of extramusical association in the form of visual or verbal meaning. If they do, then the objective correspondences established between the items of musical code in the analysis object (AO/IMC) and those in the IOCM (IOCM/IMC), and between the musical code of the IOCM (IOCM/IMC) and its extramusical fields of association (IOCM/EMFA), lead to the conclusion that there is a demonstrable state of correspondence between the items of musical code in the analysis object (AO/IMC) and the extramusical fields of association connected to the interobjective comparison material (IOCM/EMFA) — also of course, between IOCM/IMC and AO/EMFA (see Fig. 3).

Fig. 3. Hermeneutic correspondence by means of interobjective comparison (50).

There are obvious pitfalls in this method of determining musical ‘meaning’. Just as no one would presume the same morpheme to mean the same thing in two different languages (for instance, French and English as ‘oui’ and ‘we’ respectively), so it would be absurd to presume that, say, the identical Bb13 chord will mean the same in nineteenth-century operetta (Example 1a) as in bebop (Example 1b). (50)

To overcome such difficulties, IOCM should be restricted to musical genres, functions and styles relevant to the AO. Thus, in dealing with punk rock, IOCM would be need to be confined to pop and rock from the sixties and after, whereas the IOCM used in connection with middle-of-the-road pop, film music, etc. can be far larger, due to the eclectic nature of such musics and the heterogeneity of their audiences.

The same kind of confusion might also result in describing What Shall We Do With The Drunken Sailor as sad and ‘He Was Despised’ from Händel’s Messiah as happy, just because minor is supposed to be sad and major happy — as though these particular specificities of musical language were in some way more important than others.

Having extracted the various IMCs of the AO (thirteen main musemes for Kojak, ten for Fernando), their affectual meaning in associative verbal form should be corroborated or falsified. Since it is impossible or totally impractical to construct psychological test models isolating the effects of one museme in any listening situation, it is suggested that hypotheses of musematic ‘meaning’ be tested by means of a technique well known from such practices as ‘majoring’, ‘minoring’, ‘jazzing up’ and ‘rocking up’ and applied by Bengtsson (1973: 221, ff.) to illustrate theories on musical processes. This technique is called hypothetical substitution and is best explained by example.
The Swedish national anthem (Du gamla, du fria), together with most patriotic songs and hymns (whatever their musical origins), can be assumed to be of a traditionally solemn and positively dignified yet confident character. Furthermore, it can be assumed that there is great musematic similarity between most national anthems.

To test these assumptions, it is necessary to alter the various parameters of musical expression one by one, in order to pinpoint what part of the music actually carries the solemn-dignified-confident affect. Using the first melodic phrase (Ex. 2) as a starting point, hypothetical substitution (HS) can falsify the theory that (a) the melodic contour, (b) the melodic relationship of the initial upbeat-downbeat and (c) the key and the intervallic relationship of the melody to the tonic are instrumental in the transmission of the assumed affective meaning. In all three cases (Exs. 3a, b, c) the original melody has been changed. The drastically altered HS of Example 4a bears nonetheless a striking resemblance to the Marseillaise and could have been made to sound like The Stars and Stripes for Ever, God Save the Queen or the Internationale. The second HS (Ex. 3b) shows the first interval as a rising major sixth from fifth to major third, the most characteristic leap in the Soviet national anthem, while the third HS (3c) sounds like a mixture of musemes from such labour movement rousers as Bandiera Rossa and Venceremos. It

4. The Swedish national anthem took its tune from an old folk song with ‘naughty’ lyrics.
also resembles the ‘release’ of the Revolutionary Funeral March, Beethoven’s setting of Schiller’s Ode to Joy and the triumphant chorus from Händel’s Judas Maccabeus, not to mention the ‘send her victorious’ phrase from the UK national anthem. It is, however, possible to corroborate assumptions about solemnity, dignity and confidence by changing the phrasing (Ex. 3d), the tempo (3e), the lyrics (3f) and the time signature (3g).

By changing the phrasing to staccato, the melody loses much of its dignity, becoming more like a Perez Prado cha-cha-cha (Ex. 3d). By increasing the pulse rate to an allegro of 130 or more, dignity, solemnity and confidence become a bit rushed; by lowering it to an adagio pulse of forty-two, the confidence turns into something dirge-like (3e). Solemnity seems also to be destroyed by the substitution of ‘undignified’ lyrics, resulting in something more like blasphemous versions of hymns (3f), and also by retaining the original tempo while stating the tune in triple metre at 140 bpm, thus warranting a waltz accompaniment (3g).

It would also have been possible to alter the dynamics to, say, pianissimo, to give the harmonies the sharpened or flattened added notes characteristic of chords in bebop, to put the melody through a fuzz box, harmoniser or ring modulator, into the minor key or, say, some gapped Balkan folk mode. The original melody could also have been played at an altered pitch on bassoon, piccolo, celesta, synthesiser, hurdy-gurdy, bagpipes, steel guitar or kazoo; it could also have been accompanied by a rock band, crumhorn consort or by offbeat hand claps. There is an infinite number of HSs which can corroborate or falsify correspondences between conclusions about musematic meaning (AO/IMC – IOCM/EMFA). However, from the examples presented here it is at least clear that the last four parameters of musical expression (Exx. 3d,e,f,g) are more important determinants of the affective properties of dignity, solemnity and confidence than the first three (Exx. 3a,b,c), even though change in melodic contour was far easier to detect in notation.

Having established extramusical ‘meaning’ at the micro level, one should proceed to the explanation of the ways musemes are combined, simultaneously and successively. Unlike verbal language, where complexities of affective association can generally only be expressed through a combination of denotation and connotation, music can express such complexities through simultaneously heard sets of musemes. Several separately analysable musemes are combined to form what the listener experiences as an integral sound entity. Such ‘museme stacks’ can be seen as a vertical cross-section through an imaginary score. Subjectively they seem to have no duration, never exceeding the limits of ‘present time’ experience in music; objectively this means they are never longer than the length of a musical phrase, which may be roughly defined as the duration of a normal inhalation plus exhalation (Wellek 1963: 109). In popular music, museme stacks can often be found to correspond to the concept of ‘sound’, one of whose characteristics is a hierarchy of dualisms consisting, firstly, of the main relationship between melody and accompaniment (which may be interpreted as a relationship between figure and ground, individual(s) and environment), and, secondly, subsidiary relationships between bass (plus drums) and other accompanying parts. The relative importance of simultaneous musemes and their combined affectual message, shown as a theoretical model in Figure 4, can be exemplified by the affectual paradigm of the first melodic phrase in the Kojak theme (Fig 5).

5. This seems to contradict Maróthy (1974: 224-7, 241, ff.). The initial interval (the initium ‘intonation’ of plainchant, for example) should not be confused with Asaf’yev’s various usages of the word ‘intonation’. Asaf’yev calls this type of initial interval vvodniy ton (= introductory tone).

6. See Prado’s Patricia, RCA Victor 47-7245, no.1 on the Hot 100, 1958. See also Tommy Dorsey’s Tea for Two Cha-Cha, Decca 30704, no. 7 n the Hot 100, 1958.
There is no room here to account in detail for stages of musematic analysis leading to the associative words found in Figure 5 (see Tagg 1979: 102-47). The example is included merely to make more concrete a little of this otherwise theoretical presentation.

Fig. 6. ‘Deep structure’ of melodic phrases

[55] Having established correspondence between on the one hand ‘static’ items of musical expression (musemes and museme stacks) in the AO and, on the other hand, the EMFAs, of the IOCM — which leads to conclusions about the relationship between these items as signifiers and their signifieds — it is also necessary to determine the *processual* meaning of the AO. Thanks to the melody-accompaniment dualism of much popular music (see Mühe 1968: 53, 67; Maróthy 1974: 22; Tagg 1979: 123-124, 142-147), in which there are rarely more than two parts with melodic material, the remaining voices either executing riffs or sustaining notes or chords, the way to determine the relative syntactic importance of individual musemes along the ‘horizontal’ time axis is reasonably simple.
It is in fact possible to construct a model according to which any melodic phrase can be generated in keeping with the transformational norms to which the AO belongs (see Fig. 6). This does not imply that there are hard and fast rules about the way in which melodic phrases are actually generated. The model is a purely theoretical conception, which helps us find out the syntactical meaning of melodic phrases. A generative analysis of the first fully stated melodic phrase from the Kojak theme (Fig. 7) should make this clearer. Starting from the original pitch idea shown in Figure 7, an infinite number of transformations are possible. Two of these, simply using different sequences of musemes, are suggested in Examples 4 and 5. These examples are both melodic nonsense; neither the mere sum, nor the haphazard permutation of musemes can constitute the syntactical meaning of melodic phrases. Instead it is their specific type of contiguity, their type of overlap-elision according to the ‘law of good continuation’ (Meyer 1956) and that of ‘implication’ (Narmour 1977), that give specific meaning to the
phrase. This can be seen in a comparison of the original melodic phrase of the *Kojak* theme (Ex. 6) and a HS in which the middle museme, together with its transformation by propulsive double repetition, has been replaced while all other elements have been retained (Ex. 7).

Example 4

\[\text{Diagram of Example 4}\]

Example 5

\[\text{Diagram of Example 5}\]

Example 6

\[\text{Diagram of Example 6}\]

Example 7

\[\text{Diagram of Example 7}\]

In this way it is possible to distinguish between the affectual syntax of the original version and that of the HS. The differences can be verbalised as follows. Example 6: (bar 1) a strong, virile call to attention and action upwards and outwards | (bar 2) undulates, sways calm and confident, gaining momentum to lead into | (bars 3 and 4) something strong, broad, individual, male, martial, heroic and definite. Example 7: (bar 1) a strong, virile call to attention and action upwards and outwards | (bar 2) descends smoothly to | (bar 3) something strong, broad, individual, male, martial and heroic which grows in height and intensity, driving forward to | (bar 4) a confident point of rest. In short: although these two melodic phrases contain the same musical material, the order in which the material is presented and the way in which its constituent parts are elided into each other are both instrumental in determining the difference in affectual meaning.

Climbing further up the structural hierarchy from the microcosm of musemes, through melodic phrases, we arrive at the point where larger patterns of musical process (PMP) can be examined. This area is generally regarded as the private hunting ground of formalist musicology with its sophisticated conceptual apparatus for discussing thematic germination, mutation and development. However, as Chester (1970) has suggested, there are clear differences between the ‘extensional’ type of musical discourse to be found in the heyday of the sonata form and the ‘intensional’ blocks through which much popular music is structured in a much more immediate way. 7 Nevertheless, this does not mean that patterns of musical process are a simple matter in popular music analysis (see Wicke 1978, Tagg 1979). Although block shifts (simultaneous changes in several parameters of musical expression) are reasonably clear in joins between verse and chorus, A and B sections, etc., the total meaning of straightforward patterns of reiteration and recapitulation can often be more than their deceptive simplicity suggests. (For discussion of some of the processes involved, see Tagg 1979: 217-29). The situation becomes even more complex when there is incongruence between musical processes and extramusical processes (PEMP: visual images or words, for instance) in the same AO. Only a depth analysis of simultaneity, staggering or incongruence of change and return in both musical and extramusical processes within the AO can actually reveal the true nature of the musical discourse. The sort of problem involved here is probably best explained by an example.

\[7\] For a more detailed discussion of extensional and intensional structures, see Chambers 1982:29-30.
In Abba’s *Fernando*, patterns of musical and extramusical process seem reasonably clear at first sight. The song has two parts: instrumental plus verse (V) and chorus (C). The order of events is V V C V C C. By means of musematic analysis the verse can be said to conjure up a postcard picture of a young European woman alone against a backcloth of a plateau in the high Andes. Periodicity, vocal delivery, lack of bass and drums, and other musical aspects say that she is sincere, worried, involved in a long-ago-and-far-away environment. The words of the verse underline this mood: she has taken part, together with her ‘Fernando’, in a vaguely-referred-to freedom fight. The music of the chorus can be said to represent here-and-now in pleasant, modern, comfortable, leisurely surroundings; the young European woman is pleasantly nostalgic. The words are congruently nostalgic and totally devoid of the concrete references (guns, bugle calls, Rio Grande, etc.) mentioned in the verse. Everything in the analysis seems relatively simple so far, and judging from the words of the chorus, this could be quite a ‘progressive’ song.

> ‘There was something in the air that night, the stars were bright, Fernando,
> They were shining there for you and me, for liberty, Fernando;
> Though we never thought that we could lose, there’s no regrets:
> If I had to do the same again, I would, my friend, Fernando.’

Example 9. Abba, ‘Fernando’

![Example 9](Ex. 9)

There was something in the air that night, the stars were bright.

Example 10


![Example 10a](Ex. 10a)

b. Gluck, *Orfeo e Euridice* (1774), ‘Che farò senza Euridice?’

![Example 10b](Ex. 10b)

c. The Righteous Brothers, ‘You’ve Lost That Loving Feeling’, Philles 124

![Example 10c](Ex. 10c)

The only trouble is that the musical element corresponding to this nostalgia and longing to return to the exotic environment (Ex. 9) is a highly ambiguous museme, for not only is its falling tritone (marked x) a stereotype of ‘longing’ (for IOCM see Ex. 10a, b, c) but also a typical precadential sign of the imminent relaxation of tension (see Ex. 11a, b). A depth analysis of the patterns of musical process in *Fernando* reveals that when the ambiguous museme occurs at the start of the chorus it has a clearly longing character (Ex. 8), since it cannot be precadential when it not only initiates the phrase but also the whole section.

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8. Epic EPM 4036, no. 1 in the UK, 1976. Also on LP *Abba’s Greatest Hits* Epic EPC 69128, 51 weeks on UK LP charts. As a single in the USA (Atlantic 45-3346) sixteen weeks in the ‘Hot 100’. A short analysis of this tune was already published as Tagg 1981a, this version being radically expanded and rewritten as Tagg 1991.
However, when it recurs at the end of the chorus, it still admittedly starts the melodic phrase but it is at the same time in a typically precadential position of announcing relaxation of tension and therefore no real longing. This is because it occurs towards the end of a much longer but equally well-entrenched musical process, that of a familiar VI-II-V-I circle-of-fifths finish (Ex. 11). This means that, whereas the words say ‘If I had to go back and fight for freedom in Latin America, I would’, the music expresses the affective attitude ‘I may be longing for something here at home but I’m really quite content with things as they are’. [61]

Difficulties in interpreting patterns of musical process can also be found further up the processual hierarchy in the same song. Ostensibly, three main processes are to be found. The first and third move from the sincere-worrying-and-invовlement-about-fighting-for-freedom-in-the-sierras sphere to the world of here-and-now-at-home in pleasant, comfortable surroundings, reminiscing with relief (that is V → C); the second process moves in the opposite direction (C → V). However, not only are there more shifts from verse to chorus than vice versa, there is also an overall process from ‘more “Andes” (verse) and less “soft disco” (chorus) (the first half of the song) to ‘less “Andes” and more “soft disco”’ (the second half). A processual HS reversing this order of events leads to a totally different statement of emotional involvement in musical terms.

At this point in the analytical model we are poised on the brink of ‘ideological critique’, the next and final step in the methodological paradigm presented earlier (see Fig. 2). [62]

**Ideological critique**

This part of the study is strictly speaking outside the jurisdiction of the type of ‘textual analysis’ sketched above. However, it seems important, if only in passing and by way of summary, to pose a few questions arising out of the sort of musematic analysis illustrated there. These questions also put the analytical model presented so far into a broader perspective.

The results of the detailed musematic analyses of both *Kojak* and *Fernando* (Tagg 1979, 1981a) showed that this mainstream popular music was able to carry messages which, at a preconscious, affective and associative level of thought, were able to relate types of personality, environments and events to emotional attitudes, implicit evaluations and patterns of affective response. In the case of *Kojak*, for example, the music was found to reinforce a basically monocentric view of the world and to emphasis affectively the fallacy that the negative experience of a hostile urban environment can be overcome solely by means of an individualist attitude of strength and go-it-alone heroism. In
*Fernando*, a similar sort of monocentricity prevails, but the threat and worry epitomised by oppression, hunger and rebellion under neo-colonialism are warded off by the adoption of a tourist attitude (most strikingly expressed in the spatial panning, which has ‘ethnic’ quena flutes in the stereo wings and the West European vocalist up centre front — a HS reversing these positions could have been interesting!) and by nostalgic reminiscences heard against a familiar ‘home’ accompaniment of ‘soft disco’ (these elements gaining a repressive, Angst-dispelling upper hand).

Obvious questions arising from such results are of the following type. How do ‘emitter’ and ‘receiver’ relate to the attitudes and implicit ideologies which seem to be encoded in the analysed ‘channel’? Starting with the ‘emitter’ we might ask how, as far as the ‘emitter’ is concerned, the conception and composition of these affectively encoded attitudes are influenced by the circulation of capital in the popular culture industry. Does this connect with the demand for quick turnover and the creation of ‘product’ capable of eliciting immediate audience reaction leading to such turnover? If so, how aware is the ‘emitter’ of these pressures? Is there any conscious or unconscious self-censorship at this stage? It seems probable, for example, that the production of much film music, including titles and signature tunes is influenced by a need to follow well-entrenched stereotypes of affective code, in terms of both musematic structures and the implicit attitudes conveyed by such structures when connected in a stereotypic fashion to extramusical phenomena (see Tagg 1980). Can such tendencies really be seen as a sort of evil conspiracy and as the reflection of a conscious ideological position on the part of the ‘emitter’? Is it not more likely that they should be attributed to the objective social and cultural position of the ‘emitter’ in relation to the music business, to the ‘receiver’ and to society in general?

{63}

Turning to the receiving end of the communication process, we might ask how the musical statement of implicit attitudes prevalent in society at large affects those listening to such culturally eclectic and heterogeneously distributed types of music as title tunes and middle-of-the-road pop. Are the attitudes and behaviour patterns implied in such music as *Kojak* and *Fernando* actually capable of reinforcing the attitudes and behaviour patterns implied by prevailing social tendencies of monocentricity, privatisation and idealist individualism; or are these messages merely received at a distance as entertaining reflections of an outdated mode of relating to current reality? Obviously, reception of such ‘consensus music’ (Hamm 1981) will vary considerably between different cultures, subcultures, classes and groups. Thus, whereas parts of the ‘fourth audience’ (ibid.) may well be able to identify with the affective attitudes towards love, family, society and nature (on ‘nature’ in music, see Rebscher 1976, Rösing 1977, Tagg 1982) presented in such TV music as *Kojak* or in such middle-of-the-road pop as *Fernando*, it is clear that many will be unable to identify. This raises yet another question: how does the latter type of listener relate to prevailing ideologies and attitudes both in music and in society at large?

Analyzing subcultural music codes in industrialised society

The way in which ‘counter-cultures’ and subcultures express their own stand, profile and group identity in extramusical terms has been documented in numerous studies (see the work of the Centre for Contemporary Studies at the University of Birmingham). However, the musical coding of such identities is an underdeveloped field of study. There are admittedly numerous accounts of trends within Afro-American music, but few of these deal with the actual musical code of the counter-culture or subculture in question. This could be because no real theory yet exists which explains how the prevailing attitudes, patterns of behaviour and ideology of late capitalism are encoded in the musical mainstream of popular musics such as signature tunes, Muzak, advertising music, middle-of-the-road pop and rock, etc. In fact, it appears that the study of
popular music has, with very few exceptions (such as Mühe 1968, Czerny and Hoffmann 1968, Hamm 1979, 1981, 1982, Gravesen 1980, Helms 1981) shown a remarkable bias towards tributaries or offshoots, while strangely ignoring the mainstream itself. It is difficult to refrain from speculating about possible reasons for such bias. Perhaps there is a tendency among intellectual musicians or musically interested academics to be critical towards the stereotypic encoding of mainstream attitudes and ideas in our society. If so, it seems natural that such researchers will be more likely to identify with musics ‘contradicting’ the mainstream and thus be motivated to explain the ‘contradicting’ position they themselves assume rather than the ‘contradicted’ which they leave shrouded in mystery, an inaccessible, unidentified enemy. But it is hard to understand how the popular music researcher will ever be able to explain his/her ‘music in opposition’ (or even how ‘music in opposition’ will be able to develop a valid strategy) if the ideologies encoded in the musical mainstream are not to be touched.

This matter was put tersely by William Brooks at Keele University during a seminar on Afro-American music in 1978. He expressed the opinion that it is no use trying to find out why Chuck Berry is so great if you do not know why Perry Como is so successful. How, one wonders, can the true values of Sonnevi’s ‘contradicting musical exception’ (see p. 9 [48] above) be realised if the face of the ‘prevailing musical norm’ is never unmasked.

Analytical methods developed along the lines of the model presented here may perhaps contribute to this unmasking operation. Whether or not they might then be applicable to subcultural musical codes, such as Tyneside workers’ song, reggae or punk, is another question. The problems would be numerous and can be generalised as follows. (1) Detailed genre definitions will need to be made (for a possible method, see Fabbri 1982 and his contribution to this volume). (2) Acceptable style criteria will need to be established on the basis of the musical traits accepted and rejected by musicians and listeners belonging to the subculture. (3) The subcultural musical code will probably need to be considered as a potential carrier of particular socialised relationships between members of the musical subculture and the musical mainstream (this presumably reflecting comparable extramusical relationships) rather than as carrier of quasi-universalised attitudes and relationships towards an apparently wider and vaguer set of general, individualised experience (see Wicke and Mayer 1982). Such considerations seem to imply that the model presented in this article will require some alteration before being applied to the analysis of subcultural popular musics.

**Popular music analysis - its uses**

As usual in theoretical presentations like this, more questions seem to get asked than answers given. However, results from the depth studies of title music and middle-of-the-road pop carried out so far {65} suggest that the sort of hermeneutic-semiological analysis presented here can provide some insight and act as a basis for understanding ‘what is being communicated’ and ‘how’.

Now it is true that my analytical model has been distilled from detailed, almost microscopic studies of individual pieces of popular music. Such microscopic investigation was carried out in order to test thoroughly the scientific viability of certain hypotheses and intuitive analytical practices. It resulted in pieces of writing (300 pages for a one-minute title theme, sixty pages for four minutes of pop!) far too cumbersome to be used as models for normal teaching situations. However, this does not mean that the basic techniques problematised and tested in this way are unusable in normal circumstances, not least because the need to test and develop these models evolved from the practical problems of teaching popular music history at a teachers’ training college, where there
was certainly no time to spend more than a few minutes talking about single pieces of music.

The methods of interobjective comparison, of establishing correspondence between the IOCM and its EMFA and then between the musical code of the analysis object (AO/IMC) and the extramusical fields of association connected with the interobjective comparison material (IOCM/EMFA) (see Fig. 3) can be carried out by anyone willing to exercise their synaesthetic and associative capacities as well as their intellect. Any musician can carry out simple HS (hypothetical substitution) and, with a tape recorder, tape, a razor blade and a reasonable ear, anyone can even manage to reassemble a processual HS. Anyone with a bit of imagination can sing bits of tune in the wrong order, or substitute new continuations, and thereby discover what actually makes the music say what it says.

In other words the analysis of popular music should in no way be considered a job reserved for ‘experts’ (although I will admit that describing its mechanisms may require some specialist knowledge). The sort of analytic model presented here should rather be seen as an effort to underpin intellectually that form of affective and implicit human communication which occupies parts of the average Westerner’s brain during one quarter of his/her waking life. (Can any other form of communication rival this, quantitatively?) Analysing popular music should as be seen as something which counteracts ‘split brain’ tendencies, resists the sort of mental apartheid advocated by the newspapers quoted at the start of this article and breaks the schizophrenic taboos prohibiting contact between verbal and nonverbal, explicit and implicit, public and private, collective and individual, work and leisure. Analysing popular music takes the ‘fun’ seriously and is itself both a serious business and a lot of fun. {66}

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